

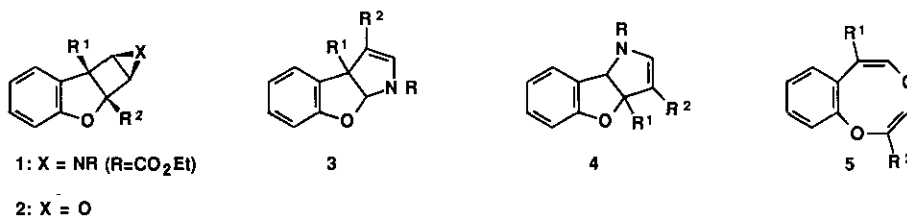
THERMOLYSIS OF 8-AZA- AND 8-OXABENZO[C]TRICYCLO[5.1.0.0<sup>2,6</sup>]OCTANES:  
FORMATION OF 3-BENZAZOCINES AND 3-BENZOXOCINS

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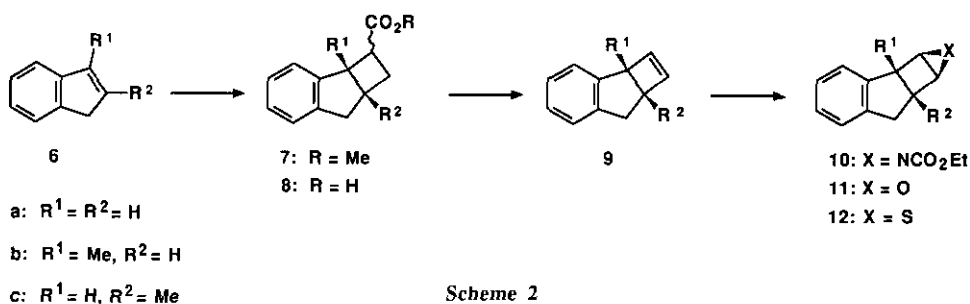
**Abstract** — Flash vacuum pyrolysis of 8-aza- (10) and 8-oxabenzoc[C]-tricyclo[5.1.0.0<sup>2,6</sup>]octanes (11) resulted in ring-expansion to give the novel 3-benzazocines (14) and 3-benzoxocins (16), respectively. In the case of 11, several kinds of rearrangement products were also obtained.

The ring-opening reactions of highly strained bicyclopentane ring systems<sup>1</sup> and their hetero analogues<sup>2-5</sup> have recently been the object of extensive study. We have reported that the thermolysis of the oxazabenzotricyclo-octanes (1) in xylene at 160 °C resulted in rearrangement to give two kinds of benzofuopyrroles (3) and (4),<sup>4</sup> whereas the flash vacuum pyrolysis (f.v.p.) of the dioxo derivatives (2) resulted in ring-expansion to form the novel 1,4-benzodioxocins (5),<sup>5</sup> although even when the compounds (2) were heated in solvents at 250 °C, no reaction occurred, (Scheme 1). These results prompted us to examine the thermal behavior of the title benzotricyclo-octanes having no oxygen atom in the five-membered ring, and we report here that the f.v.p. of them gave 3,6-dihydro-3-benzazocines and 6H-3-benzoxocins, which are new eight-membered heterocyclic rings, although the synthesis of eight-membered heterocyclic ring systems has recently been widely investigated.<sup>6</sup>



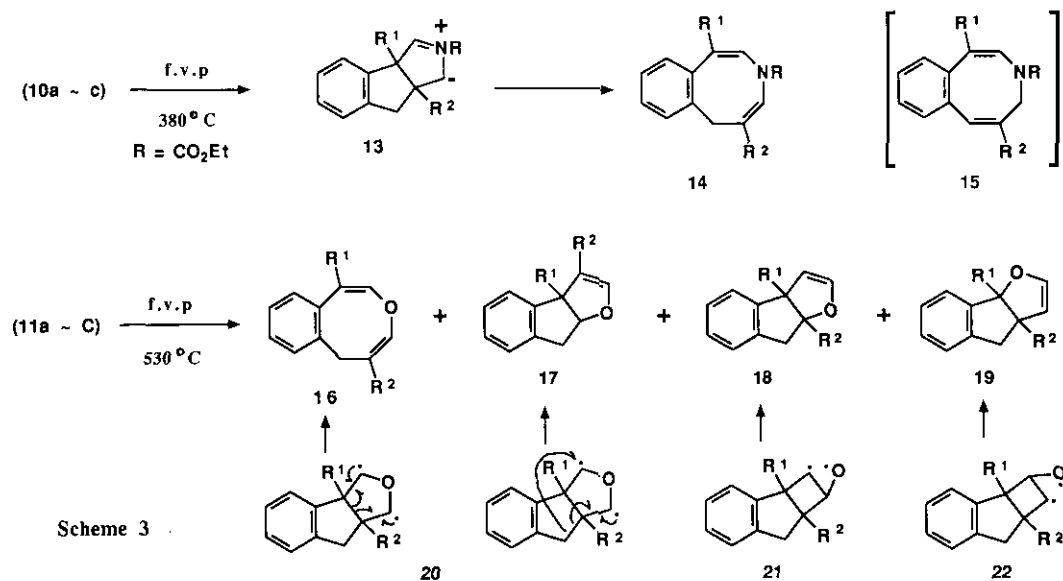
Scheme 1

The synthetic route to the starting benzotricyclo-octanes (10)-(12) used in the present thermolysis is shown in Scheme 2. The methoxycarbonylbenczobicycloheptanes (7a-c), prepared from indenenes (6) by photocycloaddition with methyl acrylate, were hydrolyzed to the acids (8), which were oxidatively decarboxylated by treatment with lead tetra-acetate to give the bicycloheptenes (9). The benzotricyclo compounds (10, 11, and 12) were prepared from 9 by treatment with ethoxycarbonylnitrene,<sup>7</sup> *m*-chloroperbenzoic acid, and succimide-*N*-sulfonyl chloride followed by LiAlH<sub>4</sub>,<sup>8</sup> respectively.<sup>9</sup>



Scheme 2

Although heating the aziridine compounds (10a-c) in dichlorobenzene at 180 °C gave complex mixtures and no characterizable products, f.v.p. of them at 380 °C ( $3 \times 10^{-5}$  mmHg) gave the expected 3-benzazocines (14)<sup>10</sup> in 60-80% yields as the sole products, probably via the ionic intermediates (13) formed by initial C-C bond fission in the aziridine ring by analogy with the thermolysis of 1.<sup>4</sup>



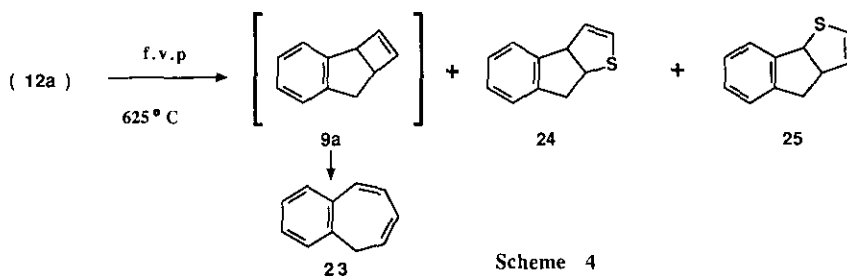
Scheme 3

The structures of the novel 3,6-dihydro-3-benzazocines (14) were characterized by elemental and spectroscopic analyses. For example, in the  $^1\text{H}$ -nmr spectrum of 14a,<sup>10</sup> signals due to the four heterocyclic ring protons lie in the olefinic range ( $\delta$  5.5-6.7) as two pairs of doublets and one of them is further coupled with a methylene signal at  $\delta$  3.66. The  $^{13}\text{C}$ -nmr spectrum of 14a shows a signal due to the ring methylene carbon at  $\delta$  33.36 (t). These spectroscopic data are consistent with the proposed 3,6-dihydro structures (14) for the products and rule out the 3,4-dihydro structures (15).

As compared with the case of the aziridine compounds (10), higher temperatures were needed for the thermolysis of the oxirane compounds (11). F.v.p. of 11a-c at 530 °C also gave the novel 6H-3-benzoxocins (16)<sup>11</sup> in 10-15% yields, but in the case, several kinds of rearrangement products such as indenofurans (17)-(19) were formed in 50-60% yields<sup>12</sup> together with 16. In contrast with the case of the aziridines (10), the thermolysis of the oxiranes (11) may proceed via homolytic bond fission only at a high temperature to give the biradical intermediates (20-22) shown in Scheme 3.<sup>13</sup> The ring-expansion products (16) and the rearrangement products (17) may be derived from 20 formed by C-C bond fission and the biradicals (21) and (22) formed by C-O bond fission might afford the other rearrangement products (18) and (19), respectively.

In addition, heating the thiirane compound (12a) in xylene at 160 °C resulted only in desulfurization to give the cyclobutaindene (9a) in 60-70% yield, whereas f.v.p. of 12a at 625 °C gave 5H-benzocycloheptene (23: 14%) and two rearrangement products (24: 26%) and (25: 11%), but no ring-expansion product. The former product (23) may be derived from initially formed 9a.

The properties of several heterocine monoanions, which are  $10\pi$ -electron systems, have been reported.<sup>6,14</sup> However, attempts to convert 14 and 16 into their monoanions by removal of a proton have been unsuccessful.



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9. In the  $^1\text{H}$ -nmr spectrum of 11b, a nuclear Overhauser effect enhancement (15-20%) was observed only between the methyl ( $\text{R}^1$ ) signal and a proton ( $\text{R}^2$ ) signal; indicating that 11b is the anti-stereostructure shown in Scheme 2, and consequently, all of the tricyclic compounds are considered to be similar stereostructures.
10. 14a: viscous oil; ir (neat) 1730 ( $\text{C}=\text{O}$ )  $\text{cm}^{-1}$ ;  $^1\text{H}$ -nmr ( $\text{CDCl}_3$ )  $\delta$  1.30 and 4.24 (3H, t, and 2H, q,  $J=7$  Hz,  $\text{CO}_2\text{Et}$ ), 3.66 (2H, d,  $J=8$  Hz,  $6\text{-H}_2$ ), 5.52 (1H, d,  $J=11$  Hz, 1-H), 5.72 (1H, dt,  $J=8$  and 8 Hz, 5-H), 6.16 (1H, d,  $J=8$  Hz, 4-H), 6.74 (1H, d,  $J=11$  Hz, 2-H), 7.1-7.3 (4H, m, Ph-H);  $^{13}\text{C}$ -nmr (8-membered ring carbons)  $\delta$  33.36 (t, 6-C), 112.30 (d, 5-C), 126.01 (d, 4-C), 126.95 (d, 2-C), 128.07 (d, 1-C), 135.83 (s, 6a-C), 139.13 (s, 10a-C); 14b: mp 49-51  $^\circ\text{C}$ ; 14c: viscous oil.
11. 16a: viscous oil;  $^1\text{H}$ -nmr ( $\text{CDCl}_3$ )  $\delta$  3.58 (2H, dd,  $J=8$  and 1 Hz,  $6\text{-H}_2$ ), 5.44 (1H, d,  $J=8$  Hz, 1-H), 5.46 (1H, m, 5-H), 6.14 (1H, d,  $J=8$  Hz, 2-H), 6.20 (1H, dd,  $J=6$  and 1 Hz, 4-H), 7.06 (4H, s, Ph-H);  $^{13}\text{C}$ -nmr (8-membered ring carbons):  $\delta$  31.59 (t, 6-C), 110.98 (d, 5-C), 118.75 (d, 1-C), 135.2 (s, 6a-C), 139.59 (s, 10a-C), 142.28 and 143.36 (each d, 2- and 4-C); 16b: viscous oil; 16c: viscous oil.
12. Yields and ratios of these rearrangement products were dependent on the methyl group and experimental conditions; details will be published in a full paper.
13. Such difference in thermolysis between aziridine and oxirane rings has been widely observed.<sup>2-5</sup>
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